

OMB Control No: 0970-0517

Expiration date: XX/XX/XXXX

THE PAPERWORK REDUCTION ACT OF 1995 (Pub. L. 104-13)

Public reporting burden for this collection of information is estimated to average 75.0 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, reviewing the collection of information, and completing the form.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Quality Progress Report (QPR)

For

Lead Agency _____

FFY 2021

The Quality Progress Report (QPR) collects information from states and territories (hereafter referred to as lead agencies) to describe investments to improve the quality of care available for children from birth to age 13. This report meets the requirements in the Child Care and Development Block Grant (CCDBG) Act of 2014 for lead agencies to submit an annual report that describes how quality funds were expended, including the activities funded and the measures used to evaluate progress in improving the quality of child care programs and services.

For purposes of simplicity and clarity, the specific provisions of applicable laws printed herein are sometimes paraphrases of, or excerpts and incomplete quotations from, the full text. The lead agency acknowledges its responsibility to adhere to the applicable laws regardless of these modifications.

The contents of this document do not have the force and effect of law and are not meant to bind the public in any way. This document is intended only to provide clarity to the public regarding existing requirements under the law or agency policies.

QUALITY PROGRESS REPORT

The Quality Progress Report (QPR) collects information from lead agencies to describe investments to improve the quality of care available for children from birth to age 13. This report meets the requirements in the Child Care and Development Block Grant (CCDBG) Act of 2014 for lead agencies to submit an annual report that describes how quality funds were expended, including the activities funded and the measures used to evaluate progress in improving the quality of child care programs and services. Lead agencies are also required to report on their Child Care and Development Fund (CCDF) quality improvement investments through the CCDF Plan, which collects information on the proposed quality activities for a three-year period; and through the ACF-696, which collects quarterly expenditure data on quality activities.

The annual data provided by the QPR will be used to describe how lead agencies are spending a significant investment per year to key stakeholders, including Congress, federal, state and territory administrators, providers, parents, and the public.

Specifically, this report will be used to:

- Ensure accountability and transparency for the use of CCDF quality funds, including a set-aside for quality infant and toddler care and the stabilization grants funded by the American Rescue Plan (ARP) Act funding;
- Track progress toward meeting state- and territory-set indicators and benchmarks for improvement of child care quality based on goals and activities described in CCDF Plans; and
- Understand efforts in progress towards all child care settings meeting the developmental needs of children
- Inform federal technical assistance efforts and decisions regarding strategic use of quality funds.

What Period Must Be Included: All sections of this report cover the federal fiscal year activities (October 1, 2020 through September 30, 2021), unless otherwise stated. Data should reflect the cumulative totals for the fiscal year being reported, unless otherwise stated.

What Data Should Lead Agencies Use: Lead agencies may use data collected by other government and nongovernment agencies (e.g., CCR&R agencies or other TA providers) in addition to their own data as appropriate. We recognize that lead agencies may not have all of the data requested initially but expect progress towards increased data capacity. The scope of this report covers quality improvement activities funded at least in part by CCDF in support of CCDF activities. Lead agencies must describe their progress in meeting their stated goals for improving the quality of child care as reported in their FFY 2019-2021 CCDF Plan.

How is the QPR Organized?

The first section of the QPR gathers basic data on the population of providers in the state or territory and goals for quality improvement and glossary of relevant terms. The rest of the report is organized according to the ten authorized uses of quality funds specified in the CCDBG Act of 2014:

- 1) Support the training and professional development of the child care workforce
- 2) Improve the development or implementation of early learning and development guidelines
- 3) Develop, implement, or enhance a quality rating improvement system for child care providers
- 4) Improve the supply and quality of child care for infants and toddlers
- 5) Establish or expand a lead agency wide system of child care resource and referral services
- 6) Support compliance with lead agency requirements for licensing, inspection, monitoring, training, and health and safety
- 7) Evaluate the quality of child care programs in the state or territory, including how programs positively impact children
- 8) Support providers in the voluntary pursuit of accreditation
- 9) Support the development or adoption of high-quality program standards related to health, mental health, nutrition, physical activity, and physical development
- 10) Other activities to improve the quality of child care services supported by outcome measures that improve provider preparedness, child safety, child well-being, or kindergarten-entry.

The Office of Child Care (OCC) recognizes that quality funds may have been used to address the coronavirus 2019 (COVID-19) pandemic. These activities should be reflected in the relevant sections of the QPR.

Reporting Activities Related to ARP Act Child Care Stabilization Grants

The ARP Act included approximately \$24 billion for child care stabilization grants, representing an important opportunity to stabilize the child care sector and do so in a way that builds back a stronger child care system that supports the developmental and learning needs of children, meets parents' needs and preferences with equal access to high-quality child care, and supports a professionalized workforce that is fairly and appropriately compensated for the essential skilled work that they do. Lead agencies must spend stabilization funds as subgrants to qualified child care providers to support the stability of the child care sector during and after the COVID-19 public health emergency. Please refer to the information memorandum [ARP Act Child Care Stabilization Grants](#) (CCDF-ACF-IM-2021-02) for further guidance on the child care stabilization grants made available through the ARP Act.

While the OCC has established a new data collection form, the ACF-901 – American Rescue Plan (ARP) Stabilization Grants Provider-Level Data, as the primary data collection mechanism for reporting related to ARP stabilization grants, Section 13 of the QPR asks about activities related to stabilization grants made possible through ARP funding. The OCC will inform lead agencies if the data reported through the ACF-901 is complete enough to warrant skipping Section 13 of the QPR. The following information is requested in aggregate in Section 13:

- Number of stabilization grants awarded by provider type and subsidy receipt;
- The minimum, maximum, and average provider stabilization grant award amounts and average number of awards made to the same provider;
- Average provider enrollment by provider type;
- Number of children served by providers receiving stabilization grants;
- Number of stabilization grants awarded to providers by gender, race and ethnicity, and who are operating in high need zip codes;
- If certain providers were targeted for grants and if certain types of uses of stabilization grants were prioritized;
- Supports available to providers during the application process and after receipt of stabilization grants; and
- Methods to eliminate fraud, waste, and abuse when providing stabilization grants;

Section 13 should be used to report on ARP Stabilization Grants ONLY. Other child care sustainability or stabilization grant programs established or ongoing using other funding mechanisms (i.e., CCDF or other supplemental funding e.g., CARES, CRRSA, ARP Supplemental Discretionary Funds) should be reported in Section 11.

When is the QPR Due to ACF?

The QPR will be due to the Administration for Children and Families (ACF) by the designated lead agency no later than December 31, 2021.

Glossary of Terms

The following terms are used throughout the QPR. These definitions can also be found in section 98.2 in the CCDBG Act of 2014. For any term not defined, please use the lead agency definition of terms to complete the QPR.

Center-based child care provider means a provider licensed or otherwise authorized to provide child care services for fewer than 24 hours per day per child in a non-residential setting, unless in care in excess of 24 hours is due to the nature of the parent(s)' work.

Director means a person who has primary responsibility for the daily operations and management for a child care provider, which may include a family child care provider, and which may serve children from birth to kindergarten entry and children in school-age child care.

Family child care provider means one or more individuals who provide child care services for fewer than 24 hours per day per child in a private residence other than the child's residence, unless care in excess of 24 hours is due to the nature of the parent(s)' work.

In-home child care provider means an individual who provides child care services in the child's own home.

License-exempt means facilities that are not required to meet the definition of a facility required to meet the CCDF section 98.2 definition of "licensing or regulatory requirements." Associated terms include "legally exempt" and "legally operating without regulation."

Licensed means a facility required by the state to meet the CCDF section 98.2 definition of "licensing or regulatory requirements," which explains that the facility meets "requirements necessary for a provider to legally provide child care services in a state of locality, including registration requirements established under state, local or tribal law."

Programs refer generically to all activities under the CCDF, including child care services and other activities pursuant to §98.50 as well as quality activities pursuant to §98.43.

Provider means the entity providing child care services.

Staffed family child care network means a group of associated family child care providers who pool funds to share some operating costs and to pay for at least one staff person who helps the providers to manage their businesses and enhance quality.

Teacher means a lead teacher, teacher, teacher assistant or teacher aide who is employed by a child care provider for compensation on a regular basis, or a family child care provider, and whose responsibilities and activities are to organize, guide and implement activities in a group or individual basis, or to assist a teacher or lead teacher in such activities, to further the cognitive, social, emotional, and physical development of children from birth to kindergarten entry and children in school-age child care.

1) Overview

To gain an understanding of the availability of child care in the state or territory, please provide the following information on the total number of child care providers. Please enter N/A when necessary.

1.1 State or Territory Child Care Provider Population

Enter the total number of child care providers that operated in the state or territory as of September 30, 2021. These counts should include all child care providers, not just those serving children receiving CCDF subsidies. Please enter N/A when necessary.

- ☐ Licensed center-based programs # _____
 - ☐ N/A. Describe: _____
- ☐ License exempt center-based programs # _____
 - ☐ N/A. Describe: _____
- ☐ Licensed family child care # _____
 - ☐ N/A. Describe: _____
- ☐ License-exempt family child care (care in providers' home) # _____
 - ☐ N/A. Describe: _____
- ☐ In-home (care in the child's own home) # _____
 - ☐ N/A. Describe: _____
- ☐ Other. Explain: _____

1.2 Goals for Quality Improvement

Based on Question 7.1.1 and 7.1.2 from the FFY2019-2021 CCDF State and Territory Plan, please report progress on the lead agency's overarching goals for quality improvement during October 1, 2020 to September 30, 2021. Include any significant areas of progress that were not anticipated in the Plan as well. For each goal listed, briefly describe the improvement with specific examples or numeric targets where possible. _____

2) Supporting the training and professional development of the child care workforce

Goal: Ensure the lead agency's professional development systems or framework provides initial and ongoing professional development and education that result in a diverse and stable child care workforce with the competencies and skills to support all domains of child development. Please select N/A as appropriate.

2.1 Lead Agency Progression of Professional Development

2.1.1 Did the lead agency use a workforce registry or professional development registry to track progression of professional development during October 1, 2020 to September 30, 2021?

- ☐ Yes. If yes, describe: _____
- ☐ No. If no, what alternative does the lead agency use to track the progression of professional development for teachers/providers serving children who receive CCDF subsidy? Describe: _____

2.1.2 Are any teachers/providers required to participate?

- ☐ Yes. If yes, describe: _____
- ☐ No. If no, describe: _____

2.1.3 Total number of participants in the registry as of September 30, 2021 # _____

2.2 What supports did the lead agency make available to teachers/providers to help them progress in their education and professional development and career pathway between October 1, 2020 and September 30, 2021 (check all that apply)? If available, how many people received each type of support?

- ☐ Scholarships (for formal education institutions) # _____
- ☐ Financial bonus/wage supplements tied to education levels # _____
- ☐ Career advisors, mentors, coaches, or consultants # _____
- ☐ Reimbursement for training # _____
- ☐ Loans # _____
- ☐ Substitutes, sick/annual leave, release time, etc. for professional development # _____
- ☐ Other. Describe: _____
- ☐ N/A. Describe: _____

For questions 2.3 to 2.4 please report on the number of staff by qualification level as of September 30, 2021. Count only the highest level attained by staff.

2.3 Licensed child care providers	Licensed child care center directors	Licensed child care center teachers	Licensed family child care providers	If N/A, explain
a. Total number:	# _____	# _____	# _____	
b. How many had a Child Development Associate (CDA)?	# _____	# _____	# _____	<i>Click or tap here to enter text.</i>
c. How many had an Associate's degree in an early childhood education field (e.g., psychology, human development, education)?	# _____	# _____	# _____	<i>Click or tap here to enter text.</i>
d. How many had a Bachelor's degree in an early childhood education field (e.g., psychology, human development, education)?	# _____	# _____	# _____	<i>Click or tap here to enter text.</i>
e. How many had a State child care credential?	# _____	# _____	# _____	<i>Click or tap here to enter text.</i>
f. How many had State infant and toddler credentials?	# _____	# _____	# _____	<i>Click or tap here to enter text.</i>
g. How many had an "other" degree? Define "other" degree: <i>Click or tap here to enter text.</i>	# _____	# _____	# _____	<i>Click or tap here to enter text.</i>

2.4 Licensed CCDF providers	Licensed child care center directors who serve children who receive CCDF subsidy	Licensed child care center teachers who serve children who receive CCDF subsidy	Licensed family child care providers who serve children who receive CCDF subsidy	If N/A, explain
a. Total number:	# _____	# _____	# _____	
b. How many had a Child Development Associate (CDA)?	# _____	# _____	# _____	Click or tap here to enter text.
c. How many had an Associate's degree in an early childhood education field (e.g., psychology, human development, education)?	# _____	# _____	# _____	Click or tap here to enter text.
d. How many had a Bachelor's degree in an early childhood education field (e.g., psychology, human development, education)?	# _____	# _____	# _____	Click or tap here to enter text.
e. How many had a State child care credential?	# _____	# _____	# _____	Click or tap here to enter text.
f. How many had State infant and toddler credentials?	# _____	# _____	# _____	Click or tap here to enter text.
g. How many had an "other" degree? Define "other" degree: Click or tap here to enter text.	# _____	# _____	# _____	Click or tap here to enter text.

2.5 How many providers received the following additional forms of professional development from October 1, 2020 to September 30, 2021?

	Total	Licensed or registered center-based programs	License-exempt center-based programs	Licensed or registered family child care	License-exempt family child care (care in providers' home)	In-home (care in the child's own home)
a) Business practices						
b) Mental health						
c) Diversity, equity, and inclusion						
d) Other: _____						

2.6 Spending:

2.6.1 Did the lead agency spend funds from any of the following sources to support the **training and professional development** of the child care workforce during October 1, 2020 to September 30, 2021?

Funding source	Was this funding source used?
a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)	<input type="checkbox"/> Yes Amount spent \$ _____ Comments related to dollar amount provided (optional): Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)	<input type="checkbox"/> Yes Amount spent: \$ _____ If yes, describe source(s) of funding: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.

Funding source	Was this funding source used?
c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: <i>Click or tap here to enter text.</i>
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: <i>Click or tap here to enter text.</i>
d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: <i>Click or tap here to enter text.</i>
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: <i>Click or tap here to enter text.</i>
e. American Rescue Plan (ARP) Act, 2021 Supplemental funding	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: <i>Click or tap here to enter text.</i>
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: <i>Click or tap here to enter text.</i>
f. ARP Act, 2021 Stabilization Grant set-aside ONLY (As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: <i>Click or tap here to enter text.</i>
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: <i>Click or tap here to enter text.</i>

2.7 Progress Update: Describe the measures used and progress made during October 1, 2020 to September 30, 2021. Include examples and numeric targets where possible.

3) Improving early learning and development guidelines

Goal: To ensure the lead agency has research-based early learning and development guidelines appropriate for children birth to age 12, including children with special needs and dual language learners that are used to inform practice and professional development.

3.1 Were any changes or updates made to the State or Territory's early learning and development guidelines during October 1, 2020 to September 30, 2021?

- ☐ Yes. If yes, describe changes or updates: _____
- ☐ No
- ☐ N/A Describe: _____

3.2 Spending:

3.2.1 Did the lead agency spend funds from any of the following sources on the **development or implementation of early learning and development guidelines** during October 1, 2020 to September 30, 2021?

Funding source	Was this funding source used?
a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)	<input type="checkbox"/> Yes Amount spent \$ _____ Comments related to dollar amount provided (optional): Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)	<input type="checkbox"/> Yes Amount spent: \$ _____ If yes, describe source(s) of funding: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.

Funding source	Was this funding source used?
d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: <i>Click or tap here to enter text.</i>
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: <i>Click or tap here to enter text.</i>
e. American Rescue Plan (ARP) Act, 2021 Supplemental funding	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: <i>Click or tap here to enter text.</i>
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: <i>Click or tap here to enter text.</i>
f. ARP Act, 2021 Stabilization Grant set-aside ONLY (As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: <i>Click or tap here to enter text.</i>
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: <i>Click or tap here to enter text.</i>

3.3 Progress Update: Describe the measures used and progress made during October 1, 2020 to September 30, 2021. Include examples and numeric targets where possible.

4) Developing, implementing, or enhancing a quality rating and improvement system (QRIS) and other transparent system of quality indicator

Goal: To ensure the lead agency implements a quality rating and improvement system, or other quality rating system, to promote high-quality early care and education programs.

Please provide the lead agency's definition of high quality care, and how it relates to the tiers of the QRIS, including a description of all tiers and which are considered high quality (if applicable). This may include the high quality definition as part of the lead agency's Quality Rating and Improvement System (QRIS). If no QRIS exists describe other measures used to assess quality (may include assessment scores, accreditation, or other metric): _____

4.1 Indicate the status of the lead agency's quality rating and improvement system (QRIS) during October 1, 2020 to September 30, 2021?

- ☐ The lead agency QRIS is operating state- or territory-wide
- ☐ The lead agency QRIS is operating a pilot (e.g., in a few localities, or only a few levels) but not fully operating state- or territory-wide
- ☐ The lead agency is operating another system of quality improvement. Describe this system: _____
- ☐ The lead agency does not have a QRIS or other system of quality improvement.

4.2 What types of providers participated in the QRIS during October 1, 2020 to September 30, 2021 (check all that apply)?

- ☐ Licensed child care centers
- ☐ Licensed family child care homes
- ☐ License-exempt providers
- ☐ Programs serving children who receive CCDF subsidy
- ☐ Early Head Start programs
- ☐ Head Start programs
- ☐ State Prekindergarten or preschool programs
- ☐ Local district-supported Prekindergarten programs
- ☐ Programs serving infants and toddlers
- ☐ Programs serving school-age children
- ☐ Faith-based settings
- ☐ Tribally operated programs
- ☐ Other. Describe: _____

4.3 For each setting, indicate the number of providers eligible to participate in the QRIS and the number of providers participating in the QRIS as of September 30, 2021?

	License d child care centers	License- exempt child care centers	Licensed family child care homes	License- exempt family child care homes	In-home (care in the child's own home)	Programs serving children who receive CCDF subsidy	Other, Describe: <i>Click or tap here to enter text.</i>
a. Number of providers eligible for QRIS	#_____	#_____	#_____	#_____	#_____	#_____	#_____
b. Number of providers participatin g in QRIS	#_____	#_____	#_____	#_____	#_____	#_____	#_____
c. N/A, describe	<i>Click or tap here to enter text.</i>	<i>Click or tap here to enter text.</i>	<i>Click or tap here to enter text.</i>	<i>Click or tap here to enter text.</i>	<i>Click or tap here to enter text.</i>	<i>Click or tap here to enter text.</i>	<i>Click or tap here to enter text.</i>

4.4 Is participation in the QRIS mandatory for any group of providers?

- ☐ Yes (check all that apply).
- ☐ Licensed child care centers
 - ☐ Licensed family child care homes
 - ☐ License-exempt providers
 - ☐ Programs serving children who receive CCDF subsidy
 - ☐ Early Head Start programs
 - ☐ Head Start programs
 - ☐ State Prekindergarten or preschool programs
 - ☐ Local district-supported Prekindergarten programs
 - ☐ Programs serving infants and toddlers
 - ☐ Programs serving school-age children
 - ☐ Faith-based settings
 - ☐ Tribally operated programs
 - ☐ Other. Describe: _____
- ☐ No.
- ☐ N/A Describe _____

4.5 Enter the number of programs that met the lead agency's high quality definition as of September 30, 2021:

- a) Licensed child care centers # _____
- b) License-exempt child care centers # _____
- c) Licensed family child care homes # _____
- d) License-exempt family child care (care in providers' home) # _____
- e) In-home (care in the child's own home) # _____
- f) Programs serving children who receive CCDF subsidy # _____
- ☐ N/A. Describe _____

4.6 Enter the number of CCDF children in high quality care by age grouping as of September 30, 2021:

- a. Total number of CCDF children in high quality care # _____
 - i. Infant # _____
Define age range: _____
 - ii. Toddler # _____
Define age range: _____
 - iii. Preschool # _____
Define age range: _____
 - iv. School-age # _____
Define age range: _____
- b. Other. Describe _____
- c. N/A. Describe: _____

4.7 Did the lead agency provide one-time grants, awards or bonuses connected to (or related to) QRIS during October 1, 2020 to September 30, 2021? If yes, how many were provided to the following types of programs during October 1, 2020 to September 30, 2021?

- ☐ Yes, the following programs received grants.
 - a. Licensed child care centers # _____
 - b. License-exempt child care centers # _____
 - c. Licensed family child care homes # _____
 - d. License-exempt family child care (care in providers' home) # _____
 - e. In-home (care in the child's own home) # _____
 - f. Programs serving children who receive CCDF subsidy # _____
- ☐ No.
- ☐ N/A. Describe: _____

4.8 Did the lead agency provide on-going or periodic quality stipends connected to (or related to) QRIS during October 1, 2020 to September 30, 2021? If yes, how many

programs received on-going or periodic quality stipends connected to (or related to) QRIS during October 1, 2020 to September 30, 2021?

- ☐ Yes, the following programs received stipends.
 - a. Licensed child care centers #_____
 - b. License-exempt child care centers #_____
 - c. Licensed family child care homes #_____
 - d. License-exempt family child care (care in providers' home) #_____
 - e. In-home (care in the child's own home) #_____
 - f. Programs serving children who receive CCDF subsidy #_____
- ☐ No.
- ☐ N/A. Describe: _____

4.9 Did the lead agency provide ongoing technical assistance related to the QRIS or other quality rating system during October 1, 2020 to September 30, 2021? If so, how many programs received ongoing technical assistance during October 1, 2020 to September 30, 2021?

- ☐ Yes, the following programs received ongoing technical assistance.
 - a. Licensed child care centers #_____
 - b. License-exempt child care centers #_____
 - c. Licensed family child care homes #_____
 - d. License-exempt family child care (care in providers' home) #_____
 - e. In-home (care in the child's own home) #_____
 - f. Programs serving children who receive CCDF subsidy #_____
- ☐ No.
- ☐ N/A. Describe: _____

4.10 Did the lead agency provide higher subsidy rates related to the QRIS or other quality rating system during October 1, 2020 to September 30, 2021? If so, how many programs received higher subsidy payment rates due to their QRIS rating during October 1, 2020 to September 30, 2021?

- ☐ Yes, the following programs received higher subsidy rates.
 - a. Licensed child care centers #_____
 - b. License-exempt child care centers #_____
 - c. Licensed family child care homes #_____
 - d. License-exempt family child care (care in providers' home) #_____
 - e. In-home (care in the child's own home) #_____
 - f. Programs serving children who receive CCDF subsidy #_____
- ☐ No.
- ☐ N/A. Describe: _____

4.11 Spending:

4.11.1 Did the lead agency spend funds from any of the following sources to support **QRIS or other quality rating systems** during October 1, 2020 to September 30, 2021?

Funding source	Was this funding source used?
a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)	<input type="checkbox"/> Yes Amount spent \$ _____ Comments related to dollar amount provided (optional): Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)	<input type="checkbox"/> Yes Amount spent: \$ _____ If yes, describe source(s) of funding: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
e. American Rescue Plan (ARP) Act, 2021 Supplemental funding	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.

Funding source	Was this funding source used?
f. ARP Act, 2021 Stabilization Grant set-aside ONLY (As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: <i>Click or tap here to enter text.</i>
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: <i>Click or tap here to enter text.</i>

4.12 Progress Update: Describe the measures used and progress made during October 1, 2020 to September 30, 2021. Include examples and numeric targets where possible.

5) Improving the supply and quality of child care programs for infants and toddlers

Goal: Ensure adequate and stable supply of high quality child care with a qualified, skilled workforce to promote the healthy development of infants and toddlers. Please report on all activities funded by quality dollars and infant toddler set-aside.

5.1 Provide the total number of state or territory-funded infant toddler specialists available to providers during October 1, 2020 to September 30, 2021.

- ☐ Yes, specialists are available.
- Number of specialists available to all providers # _____
 - Number of specialists available to providers serving children who receive CCDF # _____
 - Number of specialists available specifically trained to support family child care providers # _____
 - Number of providers served # _____
 - Total number of children reached # _____
- ☐ No, there are no funded specialists.
- ☐ N/A. Describe: _____

5.2 Please provide the total number of programs receiving state or territory-funded on-site coaching in infant and toddler practice and the percentage of these programs that served CCDF children.

	Licensed child care centers	License-exempt child care centers	Licensed family child care homes	License-exempt family child care homes	In-home (care in the child's own home) providers
a. Number of programs receiving on-site coaching	# _____	# _____	# _____	# _____	# _____
b. Percent of total programs receiving on-site coaching that served children who receive CCDF	_____ %	_____ %	_____ %	_____ %	_____ %
c. N/A, describe	<i>Click or tap here to enter text.</i>	<i>Click or tap here to enter text.</i>	<i>Click or tap here to enter text.</i>	<i>Click or tap here to enter text.</i>	<i>Click or tap here to enter text.</i>

5.3 Provide the total number of state or territory funded infant and toddler health consultants in the state or territory during October 1, 2020 to September 30, 2021.

- ☐ Consultants available # _____
- ☐ Consultants available to providers serving CCDF children # _____
- ☐ No funded infant and toddler health consultants.
- ☐ N/A. Describe: _____

5.4 Did the lead agency conduct an analysis of supply and demand for infant toddler slots to identify areas of focus to build supply during October 1, 2020 to September 30, 2021?

- ☐ Yes. Describe (include link to analysis if available): _____
- ☐ No.
- ☐ N/A. Describe: _____

5.5 Provide the number of staffed family child care networks supported by CCDF funds through direct agreement with a centralized hub or community-based agency during October 1, 2020 to September 30, 2021.

- ☐ Number of staffed family child care networks: # _____
 - Describe what the hub provides to participating family child care providers: _____
- ☐ No staffed family child care networks supported by CCDF funds.
- ☐ N/A. Describe: _____

5.6 Spending

5.6.1 Did the lead agency spend funds from any of the following sources, in addition to the 3% infant and toddler set-aside, to **improve the supply and quality of child care programs and services for infants and toddlers** during October 1, 2020 to September?

Funding source	Was this funding source used?
a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)	<input type="checkbox"/> Yes Amount spent \$ _____ Comments related to dollar amount provided (optional): Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)	<input type="checkbox"/> Yes Amount spent: \$ _____ If yes, describe source(s) of funding: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.

Funding source	Was this funding source used?
c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
e. American Rescue Plan (ARP) Act, 2021 Supplemental funding	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
f. ARP Act, 2021 Stabilization Grant set-aside ONLY (As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.

5.7 Progress Update: Describe the measures used and progress made during October 1, 2020 to September 30, 2021. Include examples and numeric targets where possible.

6) Establishing, expanding, modifying, or maintaining a statewide system of child care resource and referral services

Goal: Lead agency provides: services to involve families in the development of their children, information on a full range of child care options, and assistance to families in selecting child care that is appropriate for the family's needs and is high quality as determined by the lead agency.

6.1 Describe how CCDF quality funds were used to establish, expand, modify, or maintain a statewide system of child care resource and referral services during October 1, 2020 to September 30, 2021. _____

6.2 Spending

6.2.1 Did the lead agency spend funds from any of the following sources to **establish, expand, modify, or maintain a statewide CCR&R** during October 1, 2020 to September 30, 2021?

Funding source	Was this funding source used?
a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)	<input type="checkbox"/> Yes Amount spent \$ _____ Comments related to dollar amount provided (optional): Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)	<input type="checkbox"/> Yes Amount spent: \$ _____ If yes, describe source(s) of funding: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.

Funding source	Was this funding source used?
d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
e. American Rescue Plan (ARP) Act, 2021 Supplemental funding	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
f. ARP Act, 2021 Stabilization Grant set-aside ONLY (As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.

6.3 Progress Update: Describe the measures used and progress made during October 1, 2020 to September 30, 2021. Include examples and numeric targets where possible.

7) Facilitating compliance with lead agency requirements for inspection, monitoring, health and safety standards and training, and lead agency licensing standards

Goal: To ensure child care providers maintain compliance with lead agency licensing, inspection, monitoring, and health and safety standards and training.

7.1 Has the lead agency aligned CCDF health and safety standards with the following?

- a. Licensing standards
 - ☐ Yes.
 - ☐ No. If not, describe why: _____
- b. Caring for Our Children Basics
 - ☐ Yes.
 - ☐ No. If not, describe why: _____
- c. Head Start
 - ☐ Yes.
 - ☐ No. If not, describe why: _____
- d. State pre-k
 - ☐ Yes.
 - ☐ No. If not, describe why: _____

7.2 Complaints regarding child care providers received during October 1, 2020 to September 30, 2021

7.2.1 How many complaints were received regarding providers during October 1, 2020 to September 30, 2021?

- a. Licensed providers # _____
- b. License-exempt providers # _____

7.2.2 What was the average length of time between receiving the complaint and taking steps to respond to a complaint during October 1, 2020 to September 30, 2021? _____

7.2.3 How many complaints received an on-site follow-up inspection during October 1, 2020 to September 30, 2021? # _____

7.2.4 How many of the complaints resulted in one or more *substantiated* violations in the program or provider site identified during October 1, 2020 to September 30, 2021? # _____

7.2.5 How many child care providers had CCDF funding revoked as a result of an inspection during October 1, 2020 to September 30, 2021? # _____

7.2.6 How many child care providers closed as a result of an inspection during October 1, 2020 to September 30, 2021? # _____

7.2.7 Please provide any additional information regarding health and safety complaints and inspections in the state or territory during October 1, 2020 to September 30, 2021:

7.3 How many child care programs received coaching or technical assistance to improve their understanding and adherence to CCDF health and safety standards (as a result of an inspection or violation) during October 1, 2020 to September 30, 2021?

- a. Licensed center-based programs # _____
- b. License-exempt center-based programs # _____
- c. Licensed family child care # _____
- d. License-exempt family child care (care in providers' home) # _____
- e. In-home (care in the child's own home) # _____
- f. N/A Describe: _____

7.4 How many providers received virtual monitoring from October 1, 2020 to September 30, 2021?

- ☐ Total # _____
 - ☐ Licensed or registered center-based programs # _____
 - ☐ License-exempt center-based programs # _____
 - ☐ Licensed or registered family child care # _____
 - ☐ License-exempt family child care (care in providers' home) # _____
 - ☐ In-home (care in the child's own home) # _____
 - ☐ Programs serving children who receive CCDF subsidy # _____

7.5 Spending:

7.5.1 Did the lead agency spend funds from any of the following sources on **facilitating compliance with lead agency requirements for inspections, monitoring, health and safety standards and training, and lead agency licensing standards** during October 1, 2020 to September 30, 2021?

Funding source	Was this funding source used?
a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)	<input type="checkbox"/> Yes Amount spent \$ _____ Comments related to dollar amount provided (optional): Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.

Funding source	Was this funding source used?
b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)	<input type="checkbox"/> Yes Amount spent: \$ _____ If yes, describe source(s) of funding: <i>Click or tap here to enter text.</i>
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: <i>Click or tap here to enter text.</i>
c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: <i>Click or tap here to enter text.</i>
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: <i>Click or tap here to enter text.</i>
d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: <i>Click or tap here to enter text.</i>
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: <i>Click or tap here to enter text.</i>
e. American Rescue Plan (ARP) Act, 2021 Supplemental funding	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: <i>Click or tap here to enter text.</i>
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: <i>Click or tap here to enter text.</i>
f. ARP Act, 2021 Stabilization Grant set-aside ONLY (As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: <i>Click or tap here to enter text.</i>
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: <i>Click or tap here to enter text.</i>

7.6 Progress Update: Describe the measures used and progress made during October 1, 2020 to September 30, 2021. Include examples and numeric targets where possible.

8) Evaluating and assessing the quality of child care programs in the state or territory, including evaluating how programs positively impact children

Goal: Lead agency investment in effective quality improvement strategies using reliable data from evaluation and assessment

8.1 What measure(s) or tool(s) and studies did the lead agency use to evaluate and assess the quality of programs and effective practice in center-based programs during October 1, 2020 to September 30, 2021?

- ☐ To measure program quality, describe: _____
- ☐ To measure effective practice, describe: _____
- ☐ To measure age appropriate child development, describe: _____
- ☐ Other, describe: _____
- ☐ N/A. Describe: _____

8.2 What measure(s) or tool(s) and studies did the lead agency use to evaluate and assess the quality of programs and effective practice in family child care programs during October 1, 2020 to September 30, 2021?

- ☐ To measure program quality, describe: _____
- ☐ To measure effective practice, describe: _____
- ☐ To measure age appropriate child development, describe: _____
- ☐ Other, describe: _____
- ☐ N/A. Describe: _____

8.3 Spending:

8.3.1 Did the lead agency spend funds from any of the following sources on **evaluating and assessing the quality of child care programs, practice, or child development** during October 1, 2020 to September 30, 2021?

Funding source	Was this funding source used?
a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)	<input type="checkbox"/> Yes Amount spent \$ _____ Comments related to dollar amount provided (optional): Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.

Funding source	Was this funding source used?
b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)	<input type="checkbox"/> Yes Amount spent: \$ _____ If yes, describe source(s) of funding: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
e. American Rescue Plan (ARP) Act, 2021 Supplemental funding	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
f. ARP Act, 2021 Stabilization Grant set-aside ONLY (As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.

8.4 Progress Update: Describe the measures used and progress made during October 1, 2020 to September 30, 2021. Include examples and numeric targets where possible.

9) Supporting providers in the voluntary pursuit of accreditation

Goal: Support child care programs and FCCs in the voluntary pursuit of accreditation by a national accrediting body with demonstrated, valid, and reliable program standards of quality

9.1 How many providers did the lead agency support in their pursuit of accreditation during October 1, 2020 to September 30, 2021?

- ☐ Yes, providers were supported in their pursuit of accreditation
- a. Licensed center-based programs # _____
 - b. License-exempt center-based programs # _____
 - c. Licensed family child care # _____
 - d. License-exempt family child care (care in providers' home) # _____
 - e. Programs serving children who receive CCDF subsidy # _____
- ☐ No lead agency support given to providers in their pursuit of accreditation.
- ☐ N/A. Describe: _____

9.2 Spending

9.2.1 Did the lead agency spend funds from any of the following sources on **accreditation** during October 1, 2020 to September 30, 2021?

Funding source	Was this funding source used?
a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)	<input type="checkbox"/> Yes Amount spent \$ _____ Comments related to dollar amount provided (optional): Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)	<input type="checkbox"/> Yes Amount spent: \$ _____ If yes, describe source(s) of funding: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.

Funding source	Was this funding source used?
d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
e. American Rescue Plan (ARP) Act, 2021 Supplemental funding	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
f. ARP Act, 2021 Stabilization Grant set-aside ONLY (As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.

9.3 Progress Update: Describe the measures used and progress made during October 1, 2020 to September 30, 2021. Include examples and numeric targets where possible.

10) Supporting the development or adoption of high-quality program standards related to health, mental health, nutrition, physical activity, and physical development

Goal: Assist programs to meet high-quality comprehensive program standards relating to health, mental health, nutrition, physical activity, and physical development

10.1 Quality Indicators: Does the lead agency have quality improvement standards that include indicators covering the following areas beyond what is required for licensing?

- ☐ Yes, check which indicators the lead agency has established:
- ☐ Health, nutrition, and safety of child care settings
 - ☐ Physical activity and physical development in child care settings
 - ☐ Mental health of children
 - ☐ Mental health for staff/employees
 - ☐ Learning environment and curriculum
 - ☐ Ratios and group size
 - ☐ Staff/provider qualifications and professional development
 - ☐ Teacher/provider-child relationships
 - ☐ Teacher/provider instructional practices
 - ☐ Family partnerships and family strengthening
 - ☐ Other. Describe: _____
- ☐ No

10.2 Spending

10.2.1 Did the lead agency spend funds from any of the following sources on **supporting the development or adoption of high-quality program standards related to health, mental health, nutrition, physical activity, and physical development** during October 1, 2020 to September 30, 2021?

Funding source	Was this funding source used?
a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)	<input type="checkbox"/> Yes Amount spent \$ _____ Comments related to dollar amount provided (optional): Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.

Funding source	Was this funding source used?
b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)	<input type="checkbox"/> Yes Amount spent: \$ _____ If yes, describe source(s) of funding: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
e. American Rescue Plan (ARP) Act, 2021 Supplemental funding	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
f. ARP Act, 2021 Stabilization Grant set-aside ONLY (As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.

10.3 Progress Update: Describe the measures used and progress made during October 1, 2020 to September 30, 2021. Include examples and numeric targets where possible.

11) Other activities to improve the quality of child care services supported by outcome measures that improve provider preparedness, child safety, child well-being, or kindergarten-entry

Goal: To improve the quality of child care programs and services related to outcomes measuring improved provider preparedness, child safety, child well-being, or kindergarten-entry

11.1 Did the state or territory set up a grant program (NOT including American Rescue Plan Act stabilization grants) designed to sustain the child care supply or provide sustainability funding to child care providers due to the COVID-19 pandemic?

- ☐ Yes. If yes, describe _____ and check which types of providers were eligible and number served.
- ☐ Licensed center-based programs # _____
 - ☐ License-exempt center-based programs # _____
 - ☐ Licensed family child care # _____
 - ☐ License-exempt family child care (care in providers' home) # _____
 - ☐ In-home (care in the child's own home) # _____
 - ☐ Other (explain) _____
- ☐ No.
- ☐ N/A. Describe: _____

11.2 Did the lead agency provide cleaning supplies and/or personal protective equipment (PPE) to child care providers either through funding or directly in-kind due to the COVID-19 pandemic?

- ☐ Yes. If yes, describe _____ and check which types of providers were eligible:
- ☐ Licensed center-based programs
 - ☐ License-exempt center-based programs
 - ☐ Licensed family child care
 - ☐ License-exempt family child care (care in providers' home)
 - ☐ In-home (care in the child's own home)
 - ☐ Other (explain) _____
- ☐ No.
- ☐ N/A. Describe: _____

11.3 Did the state/territory invest in data systems to support equitable access to child care (e.g., modernizing and maintaining systems; technology upgrades and data governance improvements to provide more transparent and updated information to parents; a workforce registry; updated QRIS systems; CCR&R updates; monitoring systems) from October 1, 2020 to September 30, 2021?

- ☐ Yes. Describe: _____
- ☐ No

11.4 Did the state/territory conduct an analysis of supply and demand or other needs assessment to identify areas of focus to build supply or target funding from October 1, 2020 to September 30, 2021?

- ☐ Yes. Describe findings: _____
- ☐ No

11.5 Describe how supply building grants were used with a lens towards DEI?:

11.6 How many providers received the following from October 1, 2020 to September 30, 2021?

	Total	Licensed or registered center- based programs	License- exempt center- based programs	Licensed or registered family child care	License- exempt family child care (care in providers' home)	In-home (care in the child's own home)
a) Increased rates	# _____	# _____	# _____	# _____	# _____	# _____
b) Increased wages	# _____	# _____	# _____	# _____	# _____	# _____
c) Benefits: health insurance	# _____	# _____	# _____	# _____	# _____	# _____
d) Mental health supports	# _____	# _____	# _____	# _____	# _____	# _____
e) Start-up funds	# _____	# _____	# _____	# _____	# _____	# _____
f) Other: _____	# _____	# _____	# _____	# _____	# _____	# _____

11.7 How many providers received the following technical assistance from October 1, 2020 to September 30, 2021?

	Total	Licensed or registered center-based programs	License-exempt center-based programs	Licensed or registered family child care	License-exempt family child care (care in providers' home)	In-home (care in the child's own home)
a) Business practices	#_____	#_____	#_____	#_____	#_____	#_____
b) Emergency preparedness planning	#_____	#_____	#_____	#_____	#_____	#_____
c) Other: _____	#_____	#_____	#_____	#_____	#_____	#_____

11.8 Spending:

11.8.1 Did the lead agency spend funds from any of the following sources on **other activities to improve the quality of child care services** during October 1, 2020 to September 30, 2021?

Funding source	Was this funding source used?
a. CCDF quality set aside (from all available appropriation years that were spent during the fiscal year)	<input type="checkbox"/> Yes Amount spent \$ _____ Comments related to dollar amount provided (optional): Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
b. Non-CCDF funds (e.g., TANF funds spent directly on quality, Preschool Development Funds, state or local funds, etc.)	<input type="checkbox"/> Yes Amount spent: \$ _____ If yes, describe source(s) of funding: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.

Funding source	Was this funding source used?
c. Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
d. Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, 2021	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
e. American Rescue Plan (ARP) Act, 2021 Supplemental funding	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.
f. ARP Act, 2021 Stabilization Grant set-aside ONLY (As a reminder 10% of the ARP Stabilization Grants could be set-aside for administrative expenses, supply building, and technical assistance. This question refers only to this 10%.)	<input type="checkbox"/> Yes Amount spent \$ _____ If yes, describe how funds were used: Click or tap here to enter text.
	<input type="checkbox"/> No
	<input type="checkbox"/> N/A Describe: Click or tap here to enter text.

11.9 Progress Update: Describe the measures used and progress made during October 1, 2020 to September 30, 2021. Include examples and numeric targets where possible:

12) Lead agencies must submit an annual report, as required at 45 CFR § 98.53(f) (4), describing any changes to lead agency regulations, enforcement mechanisms, or other lead agency policies addressing health and safety based on an annual review and assessment of serious child injuries and any deaths occurring in child care programs receiving CCDF, and in other regulated and unregulated child care centers and family child care homes, to the extent possible.

12.1 Describe the annual review and assessment of serious injuries and any deaths occurring in child care programs receiving CCDF, and in other regulated and unregulated child care centers and family child care homes, to the extent possible. [REDACTED]

12.2 Describe any changes to lead agency regulations, enforcement mechanisms, or other lead agency policies addressing health and safety based on the annual review and assessment. [REDACTED]

13) American Rescue Plan (ARP) Act Child Care Stabilization Grants

Goal: To ensure the lead agency implements an equitable stabilization grant program. The American Rescue Plan (ARP) Act included approximately \$24 billion for child care stabilization grants, representing an important opportunity to stabilize the child care sector and do so in a way that builds back a stronger child care system that supports the developmental and learning needs of children, meets parents' needs and preferences with equal access to high-quality child care, and supports a professionalized workforce that is fairly and appropriately compensated for the essential skilled work that they do. Lead agencies must spend most stabilization funds as subgrants to qualified child care providers to support the stability of the child care sector during and after the COVID-19 public health emergency. Section 13 should be used to report on ARP Stabilization Grants ONLY.

13.1 When did you start accepting applications? Enter Date: _____

13.2 When did the first grants go out to providers? Enter Date: _____

13.3 How many stabilization grants were awarded from October 1, 2020 to September 30, 2021?

- ☐ Total # _____
- ☐ Licensed or registered center-based programs # _____
 - ☐ License-exempt center-based programs # _____
 - ☐ Licensed or registered family child care # _____
 - ☐ License-exempt family child care (care in providers' home) # _____
 - ☐ In-home (care in the child's own home) # _____
 - ☐ Programs already serving children who receive CCDF subsidy at time of application # _____

13.4 What are the minimum, maximum, and average provider stabilization grant award amounts and average number of awards made to the same provider from October 1, 2020 to September 30, 2021? Round amounts to the nearest dollar.

	Total	Licensed or registered center-based programs	License-exempt center-based programs	Licensed or registered family child care	License-exempt family child care (care in providers' home)	In-home (care in the child's own home)
a) Minimum provider award amount	# _____	# _____	# _____	# _____	# _____	# _____

	Total	Licensed or registered center-based programs	License-exempt center-based programs	Licensed or registered family child care	License-exempt family child care (care in providers' home)	In-home (care in the child's own home)
b) Maximum provider award amount	#_____	#_____	#_____	#_____	#_____	#_____
c) Average provider award amount	#_____	#_____	#_____	#_____	#_____	#_____
d) Average number of awards made to the same provider during the fiscal year	#_____	#_____	#_____	#_____	#_____	#_____

13.5 What is the licensed or identified capacity by age group that providers awarded stabilization grants served from October 1, 2020 to September 30, 2021? States/Territories should use their own definition of age groups as provided in the CCDF Plan.

Child age:	Total	Licensed or registered center-based programs	License-exempt center-based programs	Licensed or registered family child care	License-exempt family child care (care in providers' home)	In-home (care in the child's own home)
a) Total	#_____	#_____	#_____	#_____	#_____	#_____
b) Infants	#_____	#_____	#_____	#_____	#_____	#_____
c) Toddlers	#_____	#_____	#_____	#_____	#_____	#_____
d) Preschool	#_____	#_____	#_____	#_____	#_____	#_____
e) School-age	#_____	#_____	#_____	#_____	#_____	#_____
f) Mixed age (combination of more than one age group)	#_____	#_____	#_____	#_____	#_____	#_____

13.6 What is the number of stabilization grants awarded to providers who are operating in high need areas from October 1, 2020 to September 30, 2021? OCC will be providing these FIPS codes for each jurisdiction.

FIPS Code:	Total	Licensed or registered center-based programs	License-exempt center-based programs	Licensed or registered family child care	License-exempt family child care (care in providers' home)	In-home (care in the child's own home)
1)	# _____	# _____	# _____	# _____	# _____	# _____
2)	# _____	# _____	# _____	# _____	# _____	# _____
3)	# _____	# _____	# _____	# _____	# _____	# _____
4)	# _____	# _____	# _____	# _____	# _____	# _____
5)	# _____	# _____	# _____	# _____	# _____	# _____
6)	# _____	# _____	# _____	# _____	# _____	# _____
7)	# _____	# _____	# _____	# _____	# _____	# _____
8)	# _____	# _____	# _____	# _____	# _____	# _____
9)	# _____	# _____	# _____	# _____	# _____	# _____
10)	# _____	# _____	# _____	# _____	# _____	# _____

13.7 How many grants were provided with the following specific uses from October 1, 2020 to September 30, 2021? (check all that apply and include number of grants provided)

- ☐ **Mortgage/rent:** Rent, mortgage, utilities, facility maintenance or improvements, insurance # _____
- ☐ **Personnel costs:** Personnel costs including any sole proprietor or independent contractor - employee benefits, premium pay, or costs for employee recruitment and retention # _____
- ☐ **Supplies and services:** Personal protective equipment cleaning and sanitization supplies and services, or training and professional development related to health and safety practices # _____
- ☐ **Purchases/Updates:** Purchases of or updates to equipment and supplies to respond to the COVID-19 public health emergency # _____
- ☐ **Goods and services:** Goods and services necessary to maintain or resume child care services # _____
- ☐ **Mental health supports:** Mental health supports for children and employees # _____

13.8 Did you run more than one grant program? If so, list the number of separate grant programs and describe their uses.

- ☐ Yes. Describe: _____
- ☐ No

13.9 Check which of the following methods were used for implementing stabilization grants from October 1, 2020 to September 30, 2021? (check all that apply)

- ☐ Prioritizing small child care programs
- ☐ Recurring subgrants
- ☐ Employing multiple subgrant programs
- ☐ Providing bonuses or supplemental funding for providers meeting certain needs of families
- ☐ Encouraging wage increases through stabilization grants (e.g., bonuses going directly to staff or requirement that a percentage of the grant be used for personnel)
- ☐ Other (Describe): _____

13.10 Check which of the following were available to providers related to applying for stabilization grants from October 1, 2020 to September 30, 2021? (check all that apply)

- ☐ Applications for subgrants are widely available in plain language and multiple languages
- ☐ A staffed helpline or chat function to provide real time assistance for completing applications available in multiple languages
- ☐ Support for collecting documentation showing operating expenses
- ☐ Used a cost estimation model or survey to estimate operating expenses for or already collected operating expenses from providers as part of an earlier application or relief effort instead of requiring new information from applicants
- ☐ Acceptance of a variety of types of documentation of operating expenses
- ☐ Resources such as frequently asked questions to help with the completion of the applications available in multiple languages
- ☐ Partnerships with culturally relevant organizations and trusted messengers to support a diverse range of child care providers in navigating the application process
- ☐ Other (Describe): _____

13.11 Check which of the following supports were available to providers after they received stabilization grants from October 1, 2020 to September 30, 2021? (check all that apply)

- ☐ A staffed helpline or chat function to provide real time assistance available in multiple languages
- ☐ Support for providers related to following certain health and safety guidelines
- ☐ Support for providers related to continuing to pay full compensation to staff
- ☐ Support for providers related to recruiting and retaining existing and former child care workers and strengthening the diversity of the workforce to meet children and families' needs
- ☐ Support for providers related to providing relief from copayments and tuition for parents struggling to afford child care

- ☐ Support for providers in making facilities improvements needed to comply with safety guidance in the context of developmentally appropriate practice and a welcoming environment for children and families
- ☐ Support for providers in making facilities improvements that make child care programs inclusive and accessible to children with disabilities and family members with disabilities
- ☐ Support for background checks and health and safety training for providers who were previously ineligible for CCDF subsidies because they had not completed the health and safety requirements in the CCDBG Act
- ☐ Support for providers to find personal protective equipment (PPE), cleaning and sanitization supplies
- ☐ Support for providers related to finding mental health services and supports
- ☐ Other (Describe): _____

13.12 Describe the methods used to eliminate fraud, waste, and abuse when providing stabilization grants from October 1, 2020 to September 30, 2021 (e.g., validated identity through the lead agency licensing system or conducted identity verification through a data match with state tax records): _____

13.13 Provider Demographics

13.13.1 What is the number of stabilization grants awarded by gender of center director or family child care owner from October 1, 2020 to September 30, 2021?

Gender:	Total	Licensed or registered center-based programs	License-exempt center-based programs	Licensed or registered family child care	License-exempt family child care (care in providers' home)	In-home (care in the child's own home)
a) Female	#_____	#_____	#_____	#_____	#_____	#_____
b) Male	#_____	#_____	#_____	#_____	#_____	#_____
c) Non-Binary	#_____	#_____	#_____	#_____	#_____	#_____
d) No response	#_____	#_____	#_____	#_____	#_____	#_____

13.13.2 What is the number of stabilization grants awarded by race and ethnicity of the center director or family child care owner from October 1, 2020 to September 30, 2021?

Race and Ethnicity:	Total	Licensed or registered center- based programs	License- exempt center- based programs	Licensed or registered family child care	License- exempt family child care (care in providers' home)	In- home (care in the child's own home)
a) Ethnicity: Hispanic, Race: American Indian or Alaskan Native	# ____	# ____	# ____	# ____	# ____	# ____
b) Ethnicity: Hispanic, Race: Asian	# ____	# ____	# ____	# ____	# ____	# ____
c) Ethnicity: Hispanic, Race: Black or African American	# ____	# ____	# ____	# ____	# ____	# ____
d) Ethnicity: Hispanic, Race: Native Hawaiian or Pacific Islander	# ____	# ____	# ____	# ____	# ____	# ____
e) Ethnicity: Hispanic, Race: White	# ____	# ____	# ____	# ____	# ____	# ____
f) Ethnicity: Hispanic, Race: Multi-Racial	# ____	# ____	# ____	# ____	# ____	# ____
g) Ethnicity: Non- Hispanic, Race: American Indian or Alaskan Native	# ____	# ____	# ____	# ____	# ____	# ____
h) Ethnicity: Non- Hispanic, Race: Asian	# ____	# ____	# ____	# ____	# ____	# ____
i) Ethnicity: Non- Hispanic, Race: Black or African American	# ____	# ____	# ____	# ____	# ____	# ____
j) Ethnicity: Non- Hispanic, Race: Native Hawaiian or Pacific Islander	# ____	# ____	# ____	# ____	# ____	# ____
k) Ethnicity: Non- Hispanic, Race: White	# ____	# ____	# ____	# ____	# ____	# ____
l) Ethnicity: Non- Hispanic, Race: Multi-racial	# ____	# ____	# ____	# ____	# ____	# ____